# **DEPARTMENT OF THE NAVY**



HEADQUARTERS UNITED STATES MARINE CORPS 3000 MARINE CORPS PENTAGON WASHINGTON, DC 20350-3000

> 5720 ARSF-MP July 25, 2024

SENT VIA SECURERELEASE TO: JOHN@GREENEWALD.COM

MR JOHN GREENEWALD JR THE BLACK VAULT 27305 W LIVE OAK RD STE 1203 CASTAIC CA 91384

Dear Mr. Greenewald:

SUBJECT: YOUR FREEDOM OF INFORMATION ACT (FOIA) REQUEST, FILE NUMBER 2024-USMCFOIA-001666

This responds to your April 14, 2024, request for records of the Marine Corps policy and procedure for reporting information to the All-domain Anomaly Resolution Office (AARO). You also requested "adopted procedures" maintained from prior policies and procedures for reporting information to AARO's predecessors. We received your request on April 15th and assigned it file number **2024-USMCFOIA-001666**.

We initiated a search of the files maintained by the office of the Deputy Commandant for Plans, Policies, and Operations (PP&O), which provided the enclosed USMC General Administration Message (GENADMIN), dated December 8, 2023. We have determined that the record is releasable, though you will see that some information has been redacted. Specifically, individuals' names, plus any information that might tend to identify them, have been withheld pursuant to FOIA exemptions (b)(6) and (b)(7)(C), which prohibit disclosure of personal information when an individual's privacy interest in it outweighs any public interest. In addition, 10 U.S.C. § 130b precludes the public disclosure of personally identifying information (e.g., name, rank, and duty address) of any DoD employee assigned to a sensitive unit. PP&O also informed us that they had no written reporting procedures prior to the introduction of the GENADMIN and, therefore, possess no responsive records for that portion of your request.

If you consider this an adverse determination, you may submit an appeal by writing to the Department of the Navy, Office of the Judge Advocate General (Code 14), 1322 Patterson Ave SE, Suite 3000, Washington Navy Yard, DC 20374-5066 or, if you prefer, via e-mail to Lieutenant Brandon Maitlen, USN at brandon.r.maitlen.mil@us.navy.mil and/or Ms. Wendy Winston at wendy.a.winston.civ@us.navy.mil. Your appeal, if any, must include a statement indicating why you believe it should be granted. It must be postmarked or timestamped within 90 calendar days from the date of this letter.

You also have the right to seek assistance and/or dispute resolution services from the Department of the Navy FOIA Public Liaison, Mr. Christopher Julka, at (703) 697-0031 or Christopher.a.julka@navy.mil. You may also contact the Office of Government Information Services (OGIS) for assistance and/or dispute resolution at ogis@nara.gov or (877) 684-6448. For more information online about services provided by OGIS, please visit their website at www.archives.gov/ogis.

We have categorized you as a "media requester" for the purpose of assessing FOIA processing fees. As such, you are entitled to 100 pages of duplication free of charge but are responsible for the payment of any fees beyond that. In this instance, however, any applicable fees are waived in light of the length of time it has taken to provide you with a response. We truly appreciate your patience.

I am the official responsible for this determination. Please be advised that our review included consideration of the 'foreseeable harm standard', i.e., information which might technically fall within an exemption should not be withheld from a FOIA requester unless the agency can identify a foreseeable harm or legal bar to disclosure. Questions regarding this action should be directed to me at michael.l.peters3@usmc.mil or 571-256-8633.

Sincerely,

M. L. PETERS

Deputy, FOIA/PA Programs

Enclosure

This document is made available through the declassification efforts and research of John Greenewald, Jr., creator of:

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ZNR UUUUU ZDH
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RUJIAAA/COMMARFORSPACE
RUIQAAA/MARFORCYBERCOM FT GEORGE G MEADE MD
RUJIAAA/CG MCRC QUANTICO VA
RUIQAAA/CG TECOM QUANTICO VA
RUJIAAA/COMMARCORSYSCOM QUANTICO VA
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UNCLAS CUI
SUBJ/USMC REPORTING REQUIREMENTS FOR UNIDENTIFIED ANOMALOUS PHENOMENA
(UAP) REPORTING AND MATERIAL DISPOSITION
MSGID/GENADMIN/CMC PPO POC WASHINGTON DC/DEC//
REF/A/MSGID: GENADMIN/JOINT STAFF J3/191452Z MAY 23//
REF/B/MEMO/DEPSECDEF/15JUL22//
REF/C/MSGID: GENADMIN/CMC WASHINGTON DC PPO PS//061630Z JAN 22//
REF/D/MSGID: GENADMIN/JOINT STAFF J3/172100Z DEC 21//
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REF/E/MSGID: GENADMIN/JOINT STAFF/251822Z APR 19//

REF/F/MCO: MCO/ 3504.2\_ OPERATIONS EVENT/INCIDENT REPORT (OPREP-3)

REPORTING//

REF/G/ DODI: DODI/ S-3325.04 IMPLEMENTATION OF FOREIGN MATERIEL

PROGRAM//

ENCL/1/: UAP INCIDENT/INCURSION/ENGAGEMENT REPORTING TEMPLATE

ENCL/2/: UAP DATA TRANSFER REPORTING TEMPLATE

ENCL/3/: UAP OBJECTS AND MATERIAL REPORTING TEMPLATE
NARR/ REF (A) IS THE JOINT STAFF GENADMIN, UNIDENTIFIED ANOMALOUS

PHENOMENA REPORTING AND MATERIAL-DISPOSITION. REF (B) IS THE

DEPSECDEF MEMO FOR THE ESTABLISHMENT OF THE ALL-DOMAIN ANOMALY RESOLUTION

OFFICE (AARO). REF (C) IS THE USMC REPORTING REQUIREMENT FOR SMALL UNMANNED AIRCRAFT SYSTEMS (SUAS) AND UNAUTHORIZED INSTALLATION ACCESS

INCIDENTS WORLDWIDE. REF (D) IS UPDATED DEPARTMENT OF DEFENSE (DOD) REPORTING

TEMPLATE STANDARDS FOR SMALL UNMANNED AIRCRAFT SYSTEMS EXECUTE ORDER.

- REF (E) IS COMMAND AND CONTROL OF THE INFORMATION ENVIRONMENT. REF
- (F) IS THE MARINE CORPS ORDER FOR OPREP-3 REPORTING REQUIREMENTS//

RMKS/1. (CUI) SITUATION. THIS MESSAGE IS EFFECTIVE IMMEDIATELY,

APPLICABLE TO THE TOTAL FORCE AND PROVIDES GUIDANCE FOR SPECIAL INCIDENT REPORTING ON UNIDENTIFIED ANOMALOUS PHENOMENA (UAP) WHILE

INCORPORATING THE REQUIREMENTS OF REFERENCES (A) THROUGH (F).

1.A. (CUI) THIS MESSAGE.

- 1.A.1. (CUI) COMPLIES WITH JOINT STAFF (JS) GUIDANCE AND PROVIDES SAMPLE INCIDENT AND ENGAGEMENT REPORT TEMPLATES THAT ARE ALIGNED WITH THE JS REPORTING REQUIREMENTS (ENCLOSURES 1-3).
- 1.A.2. (CUI) REQUIRES AN OPREP-3 SIR FOR ANY UAP INCIDENT REGARDLESS OF MISSION IMPACTS, ENGAGEMENT, OR IF DETERMINED UNSAFE BY THE COMMANDING OFFICER.
- 2. (CUI) MISSION. EFFECTIVE UPON RECEIPT OF THIS MESSAGE, USMC COMMANDS WILL REPORT ALL UAP INCIDENTS, INCURSIONS AND ENGAGEMENTS USING THE BELOW STANDARDIZED REPORTING REQUIREMENTS AND THE ENCLOSED TEMPLATES; TRANSFER ALL DATA FROM UAP INCIDENTS, INCURSIONS AND ENGAGEMENTS AS DESCRIBED; AND RECOVER AND TRANSFER ALL UAP OBJECTS AND MATERIALS, AS DESCRIBED. ADDITIONALLY, IN ORDER TO COMPLY WITH REFERENCE (A), THE MARINE CORPS OPERATIONS CENTER (MCOC) WILL NOTIFY THE SERVICE'S COUNTERINTELLIGENCE ELEMENT WITHIN THE DEPUTY COMMANDANT FOR INFORMATION ON ALL UAP RELATED EVENTS.
- 2.A. (CUI) INCIDENTS, INCURSIONS, AND ENGAGEMENTS BY IDENTIFIABLE NON-ANOMALOUS PHENOMENA (E.G., SUAS AND OTHER CAPABILITIES OR MATERIALS THAT DO NOT EXCEED KNOWN OR PREDICTED PERFORMANCE ENVELOPES) WILL CONTINUE TO BE REPORTED THROUGH ESTABLISHED PROCESSES AND MECHANISMS.
- 3. (CUI) BACKGROUND. ON 19 MAY 2023, THE JS RELEASED REFERENCE (A) DIRECTING COMBATANT COMMANDS AND SERVICES TO REPORT UAP INCIDENTS AND ENGAGEMENTS WORLDWIDE USING STANDARDIZED REPORTING TEMPLATES. THE U.S. GOVERNMENT HAS OBSERVED UAP IN OR NEAR THE TERRITORY AND/OR OPERATORS.
- U.S. GOVERNMENT HAS OBSERVED UAP IN OR NEAR THE TERRITORY AND/OR OPERATING AREAS OF THE UNITED STATES, OF ITS ALLIES, AND OF ITS ADVERSARIES; AND OBSERVING, IDENTIFYING, AND POTENTIALLY MITIGATING UAP HAS BECOME A GROWING PRIORITY FOR U.S. POLICYMAKERS, LAWMAKERS, AND WARFIGHTERS.

3.A. (U) DEFINITIONS.

3.A.1. (U) UAP ARE SOURCES OF ANOMALOUS DETECTIONS IN ONE OR MORE

- DOMAIN (I.E., AIRBORNE, SEABORNE, SPACEBORNE, AND/OR TRANSMEDIUM)
  THAT ARE NOT YET ATTRIBUTABLE TO KNOWN ACTORS AND THAT DEMONSTRATE
  BEHAVIORS NOT READILY UNDERSTOOD BY SENSORS OR OBSERVERS. "ANOMALOUS
  DETECTIONS" INCLUDE BUT ARE NOT LIMITED TO PHENOMENA THAT DEMONSTRATE
  APPARENT CAPABILITIES OR MATERIAL THAT EXCEED KNOWN PERFORMANCE
  ENVELOPES. A UAP MAY CONSIST OF ONE OR MORE UNIDENTIFIED ANOMALOUS
  OBJECTS AND MAY PERSIST OVER AN EXTENDED PERIOD OF TIME.
  3.A.2. (U) UAP OBJECTS ARE CORPOREAL ARTIFACTS OF UNIDENTIFIED
  ANOMALOUS PHENOMENA. UAP MAY CONTAIN ONE OR MORE OBJECTS (E.G.,
- ANOMALOUS PHENOMENA. UAP MAY CONTAIN ONE OR MORE OBJECTS (E.G., AIRBORNE CRAFT EXHIBITING APPARENT ANOMALOUS CAPABILITIES). UAP MATERIAL ARE SAMPLES, IN WHOLE OR IN PART, OF UAP OBJECTS (E.G., DEBRIS).
- 3.A.3 (UA) UAP DATUM IS ANY RECORD OF UAP DETECTION, OBSERVATION, IDENTIFICATION, EFFECTS (ON PERSONS OR EQUIPMENT), MITIGATION, AND MATERIAL-EXPLOITATION. UAP DATUM INCLUDES BUT IS NOT LIMITED TO OBSERVER, DEBRIEFER, AND INVESTIGATOR, NOTES AND REPORTS, STILL PHOTOGRAPHS AND FULL-MOTION VIDEO, AUDIO RECORDINGS, AND PLATFORMAND SENSOR- INSTRUMENT RECORDINGS.
- 3.A.4. (U) UAP INCIDENT IS ANY OCCURRENCE (VIA VISUAL OBSERVATION AND/OR SENSOR DATA) WHERE UAP(S) WAS OBSERVED, PRESENT(S) A HAZARD OR THREAT TO A DOD MISSION, INSTALLATION, FACILITY, OR ASSET. THIS INCLUDES UAP HAZARDS OR THREATS TO DOD AIRBORNE ASSET(S) AND ANY OCCURRENCE WHERE A UAP(S) PENETRATES ESTABLISHED AIRSPACE BOUNDARIES OF A DOD INSTALLATION, FACILITY, ASSET OR PROTECTED AIRSPACE OF A MOBILE ASSET. THIS INCLUDES UAP OPERATING IN SPECIAL USE AIRSPACE (SUA) WITHOUT PRIOR AUTHORIZATION OR COORDINATION.
- 3.A.5. (U) UAP INCURSION IS ANY UAP INCIDENT IN, ON, OR NEAR U.S. MILITARY INSTALLATIONS, OPERATING AREAS, TRAINING AREAS, SUA, OR PROXIMITY OPERATIONS, AND/OR OTHER AREAS OF INTEREST. OTHER AREAS OF INTEREST INCLUDE BUT ARE NOT LIMITED TO U.S. CRITICAL INFRASTRUCTURE AND NATIONAL DEFENSE EQUITIES OF ALLIED MILITARY AND INTELLIGENCE COALITIONS (E.G., FIVE EYE).
- 3.A.6. (U) UAP ENGAGEMENT IS A KINETIC OR NON-KINETIC RESPONSE TO A UAP, INTENDED TO DENY, DISRUPT, OR DESTROY THE PHENOMENON AND/OR ITS OBJECT(S).
- 3.A.7. (U) UAP INTERROGATION IS THE ELICITATION OF UAP LOCATION, CAPABILITIES, CHARACTERISTICS, AND/OR INTENT USING PASSIVE AND/OR ACTIVE SENSING CAPABILITIES-INCLUDING BUT NOT LIMITED TO ELECTRO-OPTICAL/IMAGERY, INFRARED/THERMAL, RADIOFREQUENCY/RADAR, LIGHT/LASER/LIDAR/LADAR, ELECTROMAGNETIC, GRAVITATIONAL, AND RADIOACTIVE MEANS.
- 3.A.8. (U) UAP ATTRIBUTION IS THE ASSESSED NATURAL OR ARTIFICIAL SOURCE OF THE PHENOMENON AND INCLUDES SOLAR, WEATHER, TIDAL EVENTS, U.S. GOVERNMENT, SCIENTIFIC, INDUSTRY, AND PRIVATE ACTIVITIES, AND FOREIGN (ALLIED OR ADVERSARY) GOVERNMENT, SCIENTIFIC, INDUSTRY, AND PRIVATE ACTIVITIES.
- 3.A.9. (U) UAP HAZARD IS A SAFETY RISK TO PERSONS, MATERIAL, OR INFORMATION (E.G., FROM COLLISION) BY A UAP(S) THAT DO NOT DEMONSTRATE HOSTILE INTENT.
- 3.A.10. (U) UAP THREAT IS A FORCE-PROTECTION AND/OR NATIONAL-SECURITY

- RISK TO PERSONS, MATERIEL, OR INFORMATION BY A UAP(S) THAT DEMONSTRATE HOSTILE INTENT.
- 3.A.11. (U) UAP REACTION IS THE APPARENT UAP RESPONSE TO STIMULI, INCLUDING BUT NOT LIMITED TO UAP DETECTION, OBSERVATION, INTERROGATION AND/OR ENGAGEMENT.
- 3.A.12. (U) DATE(S) OF DETECTION IS THE PERIOD WHEN THE UAP WAS DETECTED BY PERSONS AND/OR SENSORS.
- 3.A.13. (U) DATE OF ACQUISITION IS THE DATE WHEN THE UAP DATA AND /OR MATERIAL WAS MADE AVAILABLE TO THE REPORTING ELEMENT.
- 4. (U) UAP REPORTING GUIDANCE.
- 4.A. (CUI) ANY UAP INCIDENT REGARDLESS OF IMPACT TO MISSIONS, OR DETERMINED BY THE COMMANDER OR COMMANDING OFFICER TO BE UNSAFE, UNPROFESSIONAL, OR HOSTILE, OR A UAP ENGAGEMENT WAS ATTEMPTED OR EXECUTED BY A U.S. SYSTEM (KINETIC AND/OR NON-KINETIC) WILL REQUIRE AN OPREP-3.
- 4.B. (CUI) COMMANDERS AND COMMANDING OFFICERS SHALL REVIEW EACH UAP INCIDENT PRIOR TO SUBMITTING THE OPREP-3.
- 4.C. (CUI) UAP INCIDENT AND INCURSION REPORTS ARE COMPLETED AND SECURELY TRANSMITTED FROM UNITS/UNIT WATCH CENTERS TO THE MCOC NO LATER THAN 96 HOURS AFTER THE EVENT. ENSURE THE APPROPRIATE MARINE EXPEDITIONARY FORCE (MEF) AND MARINE COMPONENT COMMAND (MARFOR) ARE INFO-COPIED ON THE REPORT. DETAILS OF UAP-RELATED HEALTH INCIDENTS (E.G., PHYSIOLOGICAL, PSYCHOLOGICAL EFFECTS AND WHETHER PERSISTENT OR TRANSITORY) WILL BE DOCUMENTED IN THE MEMBER'S ELECTRONIC HEALTH RECORD BUT SHALL NOT BE TRANSMITTED WITH THE UAP REPORT.
- 4.D. (CUI) UAP ENGAGEMENT REPORTS ARE COMPLETED AND SECURELY TRANSMITTED FROM UNITS/UNIT WATCH CENTERS TO THE MCOC NO LATER THAN 12 HOURS AFTER THE EVENT. ENSURE THE APPROPRIATE MEF AND MARFOR ARE INFO-COPIED ON THE REPORT.
- 4.E. (CUI) ALL UAP INCIDENT, INCURSION, AND ENGAGEMENT REPORTS WILL BE SUBMITTED BY THE REPORTING COMMAND USING THE TEMPLATE PROVIDED IN ENCLOSURE (1).
- 4.F. (CUI) PER REFERENCES (C) AND (E), UAP INCIDENTS REPORTED VIA OPREP-3 WILL ALSO BE REPORTED VIA THE C2IE PORTAL.
- 4.G. (CUI) COMMANDER MARINE FORCES NORTH (COMMARFORNORTH) AND COMMANDER MARINE FORCES PACIFIC (COMMARFORPAC) ARE RESPONSIBLE FOR ENTERING UAP INCIDENTS INTO C2IE FOR EVENTS THAT OCCURRED IN THE UNITED STATES, INCLUDING PUETRO RICO AND GUAM. ALL OTHER UAP INCIDENTS WILL BE REPORTED BY THE APPROPRIATE GEOGRAPHIC COMBATANT COMMANDER (GCC). MARFORS WILL FOLLOW THE C2IE REPORTING GUIDANCE OF THEIR RESPECTIVE GCC.
- 4.H. (CUI) MARINE CORPS COMMANDS, INSTALLATIONS, FACILITIES, OUTLYING ACTIVITIES, AND UNITS WILL REPORT UAP INCIDENTS, INCURSIONS, AND ENGAGEMENTS VIA THE BELOW OPREP-3 VOICE AND MESSAGING PROCEDURES WHICH ARE MODIFIED FROM THE PROCEDURES IN REFERENCE (F).
  4.I. (U) OPREP-3 INITIAL VOICE NOTIFICATION.
- 4.I.1. (CUI) USMC COMMANDS THAT OBSERVE A UAP RELATED EVENT WILL PROVIDE THE MCOC AN INITIAL VOICE NOTIFICATION WITHIN 6 HOURS OF THE EVENT OR FROM THE TIME THE UNIT WAS INFORMED OF THE EVENT.
- 4.I.2. (CUI) UNITS WITH ACCESS TO SECURE TELEPHONES (STE, SVOIP,

- VIPER) WILL CALL THE MCOC'S SVOIP AT 302-221-0469.
- 4.I.2.A. (<del>CUI)</del> UNITS WILL PROVIDE A POINT OF CONTACT, SECURE PHONE NUMBER, UNIT, DETAILS REGARDING THE UAP INCIDENT/INCURSION/ENGAGEMENT, THE ESTIMATED LOCAL TIME OF THE EVENT, AND THE EXPECTED TIME THE OPREP-3 WILL BE SUBMITTED.
- 4.I.2.B. (<del>CUI)</del> THE MCOC WILL TALK THE UNIT THROUGH THE TEMPLATE (ENCLOSURE 1) ENSURING THE UNIT HAS THE RELEVANT REQUIRED INFORMATION.
- THE MCOC WILL ALSO PROVIDE A REMINDER OF THE OPREP-3 SUBMISSION TIMELINE REQUIREMENTS AND THE ZULU TIME OF THE VOICE NOTIFICATION.
- 4.I.3. (CUI) UNITS (E.G., INFANTRY BATTALIONS) WITHOUT IMMEDIATE ACCESS TO A SECURE TELEPHONE (STE, SVOIP, VIPER) WILL CALL THE MCOC VIA COMMERCIAL LINE AT 703-695-5454.
- 4.I.3.A. (CUI) UNITS WILL PROVIDE A POINT OF CONTACT, UNCLASSIFIED COMMERCIAL/DSN PHONE NUMBER, UNIT, AND SIMPLY STATE THAT A UAP INCIDENT/INCURSION/ENGAGEMENT OCCURRED, THE ESTIMATED LOCAL TIME OF THE EVENT, AND THE EXPECTED TIME THE OPREP-3 WILL BE SUBMITTED. NO OTHER DETAILED INFORMATION IS TO BE PROVIDED WHILE ON AN UNCLASSIFIED COMMERCIAL LINE.
- 4.I.3.B. (CUI) THE MCOC WILL PROVIDE A REMINDER OF THE OPREP-3
  SUBMISSION TIMELINE AND CLASSIFICATION REQUIREMENTS AND THE ZULU TIME
  OF THE VOICE NOTIFICATION. ADDITIONALLY, THE MCOC WILL INFORM THE
  UNIT THAT THEY MUST CONTACT THE MCOC VIA SECURE TELEPHONE ONCE THEY GAIN
  ACCESS AND PROVIDE A MORE DETAILED VOICE REPORT.
- 4.I.3.C. (<del>CUI)</del> ONCE THE UNIT GAINS ACCESS TO SECURE COMMUNICATIONS THEY MUST CONTACT THE MCOC'S SVOIP AT 302-221-0469 PRIOR TO RELEASING THE UAP REPORT. THIS WILL ENSURE THE MCOC IS ABLE TO TALK THE UNIT THROUGH THE UAP REPORT TEMPLATE AND ASSIST WITH ANY CLARIFICATIONS/CORRECTIONS WHICH MIGHT BE NEEDED PRIOR TO RELEASING THE UAP REPORT.
- 4.I.4. (CUI) UNITS (E.G., RECRUITING STATIONS) THAT DO NOT HAVE ACCESS TO SECURE TELEPHONE AND SIPR WILL CALL THE MCOC VIA UNCLASSIFIED COMMERCIAL LINE AT 703-695-5454.
- 4.I.4.A. (CUI) THE UNIT WILL INFORM THE MCOC THAT A UAP INCIDENT/INCURSION/ENGAGEMENT OCCURRED AND THAT THE UNIT DOES NOT HAVE ACCESS TO SECURE COMMUNICATIONS.
- 4.I.4.B. (CUI) THE MCOC WILL CONFIRM THE POINT OF CONTACT, A COMMERCIAL/DSN PHONE NUMBER, THE UNIT AND THE UNIT'S HIGHER HEADQUARTERS THAT HAS SECURE COMMUNICATIONS WHICH WOULD BE RELEASING THE OFFICIAL UAP REPORT.
- 4.I.4.C. (CUI) THE UNIT WILL STATE WHEN THE EVENT HAPPENED, CONFIRM THE TYPE OF INCIDENT AND SOME MINOR UNCLASSIFIED DETAILS.
- 4.I.4.D. (CUI) THE MCOC WILL INFORM THE UNIT TO CALL THEIR HIGHER HEADQUARTERS AND APPROPRIATELY COMMUNICATE THE NEED FOR THE HIGHER HEADQUARTERS TO CALL THE MCOC AND SUBMIT THE UAP REPORT. THE MCOC WILL REMIND THE UNIT TO BE COGNIZANT OF CLASSIFICATION LEVEL WHEN RELAYING THE INCIDENT'S DETAILS/CIRCUMSTANCES TO THEIR HIGHER HEADQUARTERS. THE MCOC WILL ASK THE UNIT TO INFORM THEIR HIGHER HEADQUARTERS TO CONTACT THE MCOC VIA SECURE TELEPHONE PRIOR TO SUBMITTING THE UAP REPORT.
- 4.I.4.E. (CUI) THE MCOC WILL REMIND THE UNIT OF THE REPORTING

TIMELINES AND PROVIDE THE ZULU TIME FOR THE VOICE NOTIFICATION BEFORE DISCONTINUING THE PHONE CALL.

- 4.I.4.F. ( $\frac{\text{CUI}}{\text{CUI}}$ ) THE REPORTING UNIT'S HIGHER HEADQUARTERS SHALL CONTACT THE MCOC'S SVOIP AT 302-221-0469 PRIOR TO SUBMITTING THE UAP REPORT.
- 4.I.4.G. (CUI) THE MCOC WILL CONFIRM THAT THIS HIGHER HEADQUARTERS UNIT WILL BE SUBMITTING THE UAP REPORT AND THAT THE INFORMATION MATCHES THE VOICE NOTIFICATION. THE MCOC WILL CONFIRM THE POINT OF CONTACT AND A SECURE CONTACT PHONE NUMBER. THE MCOC WILL ALSO CONFIRM THAT THE UNIT UNDERSTANDS THE REPORTING REQUIREMENTS AND ANSWER ANY QUESTIONS.
- 4.J. (U) OPREP-3 REPORT SUBMISSION.
- 4.J.1. (CUI) ALL UAP INCIDENT, INCURSION, AND ENGAGEMENT REPORTS
  SHALL UTILIZE THE TEMPLATE IN ENCLOSURE (1) TO COMPLY WITH REFERENCES (A)
  AND (C).
- 4.J.2. (CUI) ALL UAP REPORTS WILL BE SENT VIA SIPR AUTOMATED MESSAGING HANDLING SYSTEM (AMHS). UNITS WITHOUT ACCESS TO SIPR AMHS WILL SEND THE REPORT VIA SIPR EMAIL.
- 4.J.2.A. (CUI) UAP REPORTS SENT VIA AMHS SHALL BE ADDRESS TO CMC PPO POC WASHINGTON DC//PPO POC, WHILE THE FOLLOWING SHALL BE INCLUDED AS INFO ADDRESSEES: THE APPLICABLE MEF, THE APPLICABLE MARFOR, AND THE APPLICABLE FLEET COMMANDER IF UNDERWAY OR DEPLOYED ON NAVY SHIPPING.
- 4.J.2.B. (CUI) UAP REPORTS SENT VIA SIPR EMAIL SHALL BE ADDRESS TO MCOC\_NOTIFICATIONS@USMC.SMIL.MIL WHILE THE FOLLOWING SHALL BE INCLUDED AS CC'D ADDRESSEES: THE APPLICABLE MEF, THE APPLICABLE MARFOR, AND THE APPLICABLE FLEET COMMANDER IF UNDERWAY OR DEPLOYED ON NAVY SHIPPING.
- 4.J.3. (CUI) UNITS WILL CALL THE MCOC'S SVOIP AT 302-221-0469 ONCE THE UAP REPORT HAS BEEN SUBMITTED. THIS IS TO ENSURE THE MCOC RECEIVED THE UAP REPORT, ALLOW FOR FOLLOW-UP QUESTIONS AND/OR CLARIFICATIONS, AND TO ENSURE ALL LINES OF THE UAP REPORT ARE PROPERLY FILLED OUT.
- 4.K. (CUI) MARINE CORPS SECURITY FORCE BATTALIONS MUST COORDINATE WITH THE STRATEGIC WEAPONS FACILITIES AND THE HOST INSTALLATION IN ORDER TO DECONFLICT REPORTING DETAILS WHILE ENSURING BOTH THE MCOC AND THE NAVY'S SERVICE WATCH CELL ARE INFORMED.
- 4.L. (CUI) OFF-INSTALLATION UNITS, ACTIVITIES, AND DETACHMENTS OPERATING ASHORE, AWAY FROM MARINE CORPS INSTALLATIONS OR FACILITIES, AND AFLOAT UNITS NOT LOCATED ON U.S. MARINE CORPS CONTROLLED INSTALLATIONS SHALL MAKE REPORTS VIA THEIR TACTICAL CONTROL FOR FORCE PROTECTION CHAIN OF COMMAND.
- 4.M. (CUI) REPORTING COMMANDS SHALL SERIALIZE UAP REPORTS PER CALENDAR YEAR IN LINE 10 OF THE INCIDENT REPORT (E.G., 23001, 23002) AS SHOWN IN ENCLOSURE (1).
- 5. (U) COUNTERINTELLIGENCE REQUIREMENT AND PARTICIPATION.
- 5.A. (CUI) DOD COUNTERINTELLIGENCE ELEMENTS PERFORM OPERATIONS AND INVESTIGATIONS TO PROTECT THE DOD FROM ESPIONAGE AND OTHER FOREIGN INTELLIGENCE ACTIVITIES, SABOTAGE, AND ASSASSINATIONS CONDUCTED BY OR ON BEHALF OF FOREIGN GOVERNMENTS.
- 5.B. (CUI) AS POTENTIAL FOREIGN INTELLIGENCE THREATS TO DOD PERSONS, MATERIAL, AND INFORMATION, THE MCOC WILL NOTIFY THE SERVICE'S COUNTERINTELLIGENCE ELEMENT AS SOON AS PRACTICABLE AND WITHIN 24 HOURS OF A UAP INCIDENT, INCURSION, AND/OR ENGAGEMENT.

- 5.C. (CUI) THE SERVICE'S COUNTERINTELLIGENCE ELEMENT WILL ASSESS UAP REPORTS FOR FOREIGN INTELLIGENCE ATTRIBUTION AND CONDUCT RELATED OPERATIONAL INVESTIGATIVE ACTIVITIES ACCORDINGLY. COUNTERINTELLIGENCE ELEMENTS REPORT UAP INFORMATION OF FOREIGN INTELLIGENCE AND/OR COUNTERINTELLIGENCE VALUE BY WAY OF THE SERVICE'S INTELLIGENCE REPORTING AND/OR CASE MANAGEMENT SYSTEMS.
- 5.D. (<del>CUI)</del> THE SERVICE'S COUNTERINTELLIGENCE ELEMENT'S INTER AND INTRA-AGENCY COORDINATION WILL BE CONDUCTED USING ALREADY ESTABLISHED TACTICS, TECHNIQUES, AND PROCEDURES (TTP) AND THEIR STANDARD OPERATING

PROCEDURES (SOP).

- 5.E. (CUI) THE SERVICE'S COUNTERINTELLIGENCE ELEMENT WILL SUBMIT ALL UAP RELATED INTELLIGENCE INFORMATION REPORTS (IIR) TO THE AARO AND REFERENCE THE APPLICABLE UAP INCIDENT SERIAL NUMBER.
- 6. (U) REQUIRED DOCUMENTATION FOR DATA TRANSFERS.
- 6.A. (CUI) THE SERVICE'S COUNTERINTELLIGENCE ELEMENT WILL COORDINATE WITH THE APPLICABLE MARFOR TO SECURELY TRANSFER UAP DATA TO THE APPROPRIATE LOCATIONS(S) AND ENTITY(IES) FOLLOWING COORDINATION WITH THE AARO NO LATER THAN 30 DAYS AFTER THE UAP RELATED EVENT.
- 6.B. (CUI) THE SERVICE'S COUNTERINTELLIGENCE ELEMENT AND THE APPLICABLE MARFOR WILL SUBMIT THE UAP DATA TRANSFER REPORT, ENCLOSURE (2), TO THE AARO.
- 7. (U) REQUIRED DOCUMENTATION FOR MATERIAL TRANSFERS.
- 7.A. (<del>CUI)</del> UAP OBJECTS AND MATERIAL SHALL BE SECURED IN A MANNER CONSISTENT WITH DOD FOREIGN MATERIAL EXPLOITATION POLICIES AND DOCTRINE (REFERENCE G) AND SHALL BE TRANSFERRED TO APPROPRIATE LOCATIONS AND ENTITY(IES) FOLLOWING COORDINATION WITH AARO.
- 7.B. (CUI) THE SERVICE'S COUNTERINTELLIGENCE ELEMENT WILL COORDINATE WITH THE APPLICABLE MARFOR TO ENSURE ALL UAP OBJECTS AND MATERIAL ARE TRANSFERRED TO THE APPROPRIATE LOCATIONS NO LATER THAN 30 DAYS AFTER THE UAP EVENT.
- 7.C. (CUI) THE TEMPLATE IN ENCLOSURE (3) WILL BE USED BY THE SERVICE'S COUNTERINTELLIGENCE ELEMENT AND THE APPLICABLE MARFOR TO RECORD RECOVERED UAP OBJECTS AND MATERIAL.
- 8. (U) SECURITY/CLASSIFICATION.
- 8.A. (CUI) BE AWARE THAT COMPILING UNCLASSIFIED INFORMATION IN A REPORT MAY MAKE THE MESSAGE CLASSIFIED. ENSURE COMPLIANCE WITH DOD MANUAL 5200.01 VOLUME 2, WHICH OUTLINES THE HANDLING AND MARKING OF CLASSIFIED INFORMATION BEING ESPECIALLY AWARE OF THE EFFECTS OF AGGREGATION AND COMPILATION OF UNCLASSIFIED INFORMATION. REFERENCE THE DOD CUI PROGRAM WEBSITE AT HTTPS://WWW.DODCUI.MIL FOR ADDITIONAL INFORMATION ON HANDLING CONTROLLED UNCLASSIFIED INFORMATION (CUI).
  8.B. (CUI) THE UNIT'S LOCATION, LOCATION OF THE INCIDENT/ENGAGEMENT OR THE MEANS USED TO DETECT THE UAP MAY MAKE THE MESSAGE CLASSIFIED.
  8.C. (CUI) UNITS MUST PAY PARTICULAR ATTENTION TO THE LEVEL OF CLASSIFICATION WHILE PROVIDING VOICE NOTIFICATIONS TO THE MCOC AND/OR WHEN RELAYING UAP EVENT DETAILS TO THEIR HIGHER HEADQUARTERS.
  8.D. (CUI) IF ANY INFORMATION REQUIRED IN THE UAP REPORTING
- 8.D. (CUI) IF ANY INFORMATION REQUIRED IN THE UAP REPORTING PROCEDURES EXCEEDS THE CLASSIFICATION ALLOWED BY TRANSMISSION MODE STATE "INFORMATION EXCEEDS THE CLASSIFICATION OF THE TRANSMISSION MODE ON

FILE WITH THE REQUESTOR" NEXT TO THE APPROPRIATE PARAGRAPH, AND FOLLOW-UP AS REQUIRED.

- 9. (CUI) MARFORS SHALL ARCHIVE ALL UAP REPORTS, DATA TRANSFER REPORTS, UAP OBJECT AND MATERIAL REPORTS AND STORYBOARDS SUBMITTED BY SUBORDINATE COMMANDS IN ORDER TO RESPOND TO ANTICIPATED REQUESTS FOR INFORMATION FROM CONGRESS, THE OFFICE OF THE SECRETARY OF DEFENSE, JS, AND OTHER STAKEHOLDERS. THE ARCHIVED INFORMATION SHOULD BE LABELED USING THE UAP INCIDENT REPORT SERIAL NUMBER AND THE DATE TIME GROUP OF THE INCIDENT.
- 10. (<del>CUI)</del> ENSURE WIDEST DISSEMINATION OF THIS MESSAGE WHICH IS APPLICABLE TO THE TOTAL MARINE CORPS FORCE.
- 11. (<del>CUI)</del> THIS MESSAGE SHALL REMAIN IN EFFECT UNTIL THE CONTENTS OF THIS MESSAGE ARE INCORPORATED INTO THE UPDATE TO MARINE CORPS ORDER 3504.2\_, OPERATIONS EVENT/INCIDENT REPORT (OPREP-3) REPORTING.

12. (U) POINT OF CONTACT.

12.A. (U) (b)(6), (b)(7)(C), (b)(3) COMM: (b)(6), (b)(7)(C) DSN:

(b)(6), (b)(7)(C) SVOIP: (b)(6), (b)(7)(C) NIPR EMAIL: (b)(6), (b)(7)(C) USMC.MI, SIPR

EMAIL: (b)(6), (b)(7)(C) JUSMC.SMIL.MIL, JWICS: (b)(6), (b)(7)(C)USMC.IC.GOV.

12.B. (U) MARINE CORPS OPERATIONS CENTER; COMM: 703-695-5454, DSN:

312-225-5454, SVOIP: 302-221-0469, NIPR EMIL:

MCOC\_NOTIFICATIONS@USMC.MIL, SIPR EMAIL:

MCOC NOTIFICATIONS@USMC.SMIL.MIL.

13. (U) RELEASED BY MAJOR GENERAL ROGER B. TURNER, ACTING DEPUTY COMMANDANT FOR PLANS, POLICIES AND OPERATIONS.

13.A (U) WIDEST DISSEMINATION IS DIRECTED.

BT//

BT

#3998

NNNN

Received from AUTODIN 081810Z Dec 23

#### NOTES:

- \* DENOTES A REQUIRED RESPONSE; \*\* DENOTES A REQUIRED RESPONSE WITH ADDITIONAL REPORTING REQUIREMENTS.
- GEOLOCATION WILL BE REPORTED BY LAT/LONG OR MILITARY GRID REFERENCE SYSTEM (MGRS).
- REPORTS WILL BE SUBMITTED WITH ALL LINES COMPLETED. N/A OR UNKNOWN RESPONSES ARE ACCEPTABLE IN OPREP-3.
- REPORTS WILL BE SUBMITTED VIA SIPR AMHS MESSAGING OR SIPR EMAIL

-----SAMPLE REPORTING MESSAGE-----

FM MAR XXXXXXXX (OR UNIT YYYYY OR FACILITY ZZZZZZ)

TO CMC PPO POC WASHINGTON DC//PPO POC

(APPLICABLE MARFOR)

(APPLICABLE MEF)

(APPLICABLE FLEET OR TASK FORCE COMMANDER)

SECRET

SECINFO/U/-/

MSGID/OPREP-3, 2D MAW, YYYY/NAVSTA XXXXXXXX/00X//

REF/A/MSGID: GENADMIN/ CMC PPO POC WASHINGTON DC//PPO POC WASHINGTON DC/DDHHMMZ AUG 23//

AMPN/ REF A IS MARINE CORPS-WIDE OPERATIONAL REQUIREMENTS FOR UNIDENTIFIED ANOMALOUS PHENOMENA (UAP) REPORTING AND MATERIAL DISPOSITION BY MARINE CORPS INSTALLATIONS, FACILITIES, AND UNITS.//

POC/NAME/RANK/GRADE/UNIT/TEL/EMAIL/

/OREP-3 SIR /-/

TIMELOC/DDHHHHZMMMYY/ LOC OF INCIDENT, INCURSION, OR ENGAGMENT/INIT/

GENTEXT/ UAP INCIDENT, INCURSION, OR ENGAGEMENT/

LINE 1. \* (U) REPORT CLASSIFICATION AND HANDLING INSTRUCTIONS:

LINE 2. \* (U) DATE AND TIME OF DETECTION DATE TIME GROUP (DTG) (I.E., DDHHHHZMMMYYYY; INDICATE WHETHER ESTIMATED OR PRECISE):

LINE 3.A. \* (U) UAP-EVENT TYPE (I.E., UAP INCIDENT, UAP INCURSION, AND/OR UAP ENGAGEMENT):

LINE 3.B.  $\star$  (U) UAP-EVENT DESCRIPTION NARRATIVE (I.E., CONCISE SUMMARY OF THE UAP EVENT);

LINE 4.A. \* (U) NUMBER OF UAP OBJECTS DETECTED AND METHOD (E.G., THREE UAP OBJECTS DETECTED WITH RADAR, ONE OF WHICH WAS OBSERVED VISUALLY):

- LINE 4.B. \* (U) UAP GEOLOCATION (I.E., WHERE UAP WAS FIRST SIGHTED; SPECIFY COORDINATE STANDARD USED; INDICATE WHETHER ESTIMATED OR PRECISE):
- LINE 4.C. (U) UAP OBJECT(S) ALTITUDE, DEPTH, VELOCITY, AND TRAJECTORY (INDICATE ESTIMATED OR MEASURED):
- LINE 5.A. \*\* (CUI) UAP ANOMALOUS CHARACTERISTICS/BEHAVIORS (E.G., NO APPARENT CONTROL SURFACES, EXTREME ACCELERATION/DIRECTION CHANGE, DETECTION BY CERTAIN SENSORS BUT NOT OTHERS):
- LINE 5.B. \* (U) UAP OBJECT(S) DESCRIPTION (E.G., SIZE, SHAPE, COLOR, MARKINGS, RECOGNIZABLE FEATURES) AND PHYSICAL STATE (I.E., SOLID, LIQUID, GAS, PLASMA):
- LINE 5.C. (CUI) UAP OBJECT(S) SIGNATURE(S) (E.G., ACOUSTIC, RADIOFREQUENCY, LIGHT, THERMAL, GRAVITATIONAL, RADIOACTIVE—WITH IDENTIFICATION OF DETECTING SENSOR):
- LINE 5.D. (U) UAP OBJECT(S) PROPULSION MEANS (E.G., NONE OBSERVED, ROTARY WING/PROPELLER):
- LINE 5.E. (U) UAP OBJECT(S) MANEUVERABILITY OBSERVATIONS:
- LINE 5.F. (U) UAP OBJECT(S) PAYLOAD:
- LINE 5.G. (U) UAP OBJECT(S) UNDER APPARENT INTELLIGENT CONTROL (YES/NO; IF YES, DESCRIBE):
- LINE 6.A. \* (U) UAP OBSERVER GEOLOCATION (LATITUDE-LONGITUDE OR MGRS OF THE OBSERVER AND/OR SENSOR; SPECIFY COORDINATE STANDARD USED):
- LINE 6.B. \* (U) OBSERVER ALTITUDE/DEPTH, VELOCITY, AND TRAJECTORY:
- LINE 6.C. (U) OBSERVER INTERROGATION OF UAP (YES/NO; IF YES LIST SENSOR(S) USED AND DESCRIBE RETURNS):
- LINE 6.D, \* (U) OBSERVER ASSESSMENT OF UAP (I.E., BENIGN, HAZARD, THREAT):
- LINE 6.E. \* (U) OBSERVER ENGAGEMENT OF UAP (YES/NO; IF YES, DESCRIBE):
- LINE 6.F. \* (U) UAP REACTION TO OBSERVATION, INTERROGATION, ENGAGEMENT (YES/NO; IF YES, WHAT PRECEDED THE REACTION (E.G., OBSERVER'S ACTIVE SENSING, COMMUNICATION, WEAPONS ARMING, ENGAGEMENT)):
- LINE 7.A. (U) UAP EFFECTS ON EQUIPMENT (E.G., MECHANICAL, ELECTRICAL CONTROLS AND WEAPONS SYSTEMS AND WHETHER PERSISTENT OR TRANSITORY):
- LINE 7.B. (U) UAP EFFECTS ON PERSONS (YES/NO):
- LINE 7.C. (U) PERSONNEL REFERRED TO MEDICAL CARE (YES/NO; IF YES, NUMBER AND LOCATION) (E.G. EMERGENCY ROOM, SHIPBOARD MEDICAL DEPARTMENT).
- LINE 8. (U) UAP FINAL GEOLOCATION (I.E., WHERE UAP WAS LAST SIGHTED; SPECIFY COORDINATE STANDARD USED):
- LINE 9. \* (U) UAP OBJECTS/MATERIAL RECOVERED (YES/NO; IF YES, DESCRIBE THE OBJECTS/MATERIAL RECOVERED):
- LINE 10.A. (U) CALLSIGN AND MISSION NUMBER/IDENTIFIER (OF DOD OBSERVER):

- LINE 10.B. \* (U) REPORTING UNIT, POC CONTACT INFORMATION (UNIT/ORGANIZATION, NAME, RANK/GRADE, EMAIL, PHONE NUMBER):
- LINE 10.C. \* (U) DATE OF DOD ACQUISITION: (I.E., DDMMMYYYY)
- LINE 10.D. (U) THIRD-PARTY OBSERVERS AND/OR REPORTERS (E.G., OTHER U.S. AND/OR FOREIGN NATIONAL PERSONS OR SENSORS):
- LINE 10.E. \* (U) REPORTING ORGANIZATION UAP-EVENT SERIAL NUMBER (FORMAT: [GEOGRAPHIC MARFOR LOCATION OF EVENT (ABBREVIATION)]-[REPORTING UNIT (ABBREVIATION)]-[DETECTION DATE]-[THREE-DIGIT, UNIT ASSIGNED NUMERICAL SEQUENCE] (E.G., MARFORNORTH- 2D MAW -20JUL2023-002)):

#### NOTES:

- \* DENOTES A REQUIRED RESPONSE.
- GEOLOCATION WILL BE REPORTED BY LAT/LONG OR MILITARY GRID REFERENCE SYSTEM (MGRS).
- REPORTS WILL BE SUBMITTED WITH ALL LINES COMPLETED.
- REPORTS WILL BE SUBMITTED VIA SIPR AMHS MESSAGING OR SIPR EMAIL

## -----SAMPLE REPORTING MESSAGE------

- LINE 1. \* (U) UAP DATA CLASSIFICATION AND SPECIAL HANDLING INSTRUCTIONS:
- LINE 2.A. \* (U) DATE AND TIME OF DATA RECORDED (DTG) (ZULU): (I.E., DDHHHHZMMMYYYY)
- LINE 2.B. \* (U) REPORTING ORGANIZATION UAP-EVENT SERIAL NUMBER (FORMAT: [GEOGRAPHIC MARFOR LOCATION OF EVENT (ABBREVIATION)]-[REPORTING UNIT (ABBREVIATION)]-[DETECTION DATE]-[THREE-DIGIT, UNIT ASSIGNED NUMERICAL SEQUENCE] (E.G., MARFORNORTH- 2D MAW -20JUL2023-002)):
- LINE 3. \* (U) DATA DESCRIPTION NARRATIVE (I.E., CONCISE SUMMARY OF THE UAP DATA):
- LINE 4.A. \* (CUI) DATA SENSOR TYPE (E.G., ACOUSTIC, RADIOFREQUENCY, LIGHT, THERMAL, GRAVITATIONAL, RADIOACTIVE, WITH IDENTIFICATION OF DETECTING SENSOR):
- LINE 4.B. (U) COLLECTION ASSET'S DATA SENSOR MODEL OR NATIONAL STOCK NUMBER (NSN):
- LINE 4.C. (U) COLLECTION ASSET'S DATA SENSOR SERIAL NUMBER:
- LINE 4.D. (U) COLLECTION ASSET'S SENSOR PLATFORM (E.G., HANDHELD, AIRCRAFT):
- LINE 4.E. (U) SENSOR MAINTENANCE RECORD POINT OF CONTACT:
- LINE 5. \* (U) DATA OWNER (ORGANIZATION) INFORMATION (I.E., COMBATANT COMMAND, SERVICE):
- LINE 6. \* (U) UAP DATA CHAIN OF CUSTODY (UNIT/ORGANIZATION, NAME, RANK/GRADE, PHONE NUMBER):

### NOTES:

- \* DENOTES A REQUIRED RESPONSE.
  - GEOLOCATION WILL BE REPORTED BY LAT/LONG OR MILITARY GRID REFERENCE SYSTEM (MGRS).
  - REPORTS WILL BE SUBMITTED WITH ALL LINES COMPLETED.
  - REPORTS WILL BE SUBMITTED VIA SIPR AMHS MESSAGING OR SIPR EMAIL

-----SAMPLE REPORTING MESSAGE-----

LINE 1. \* (U) UAP OBJECT AND/OR MATERIAL CLASSIFICATION AND SPECIAL HANDLING INSTRUCTIONS:

LINE 2. \* (U) UAP OBJECT AND/OR MATERIAL HAZARDOUS-MATERIAL CATEGORY:

LINE 3. \* (U) REPORTING ORGANIZATION UAP-EVENT SERIAL NUMBER (FORMAT: [GEOGRAPHIC MARFOR LOCATION OF EVENT (ABBREVIATION)]-[REPORTING UNIT (ABBREVIATION)]-[DETECTION DATE]-[THREE-DIGIT, UNIT ASSIGNED NUMERICAL SEQUENCE] (E.G., MARFORNORTH- 2D MAW -20JUL2023-002)):

LINE 4.A. \* (U) DATE UAP OBJECT AND/OR MATERIAL RECOVERED: (I.E., DDMMMYYYY)

LINE 4.B. \* (U) UAP OBJECT AND/OR MATERIAL DESCRIPTION NARRATIVE (I.E., CONCISE SUMMARY OF THE UAP OBJECT AND/OR MATERIAL):

LINE 5 \* (U) UAP OBJECT AND/OR MATERIAL CHAIN OF CUSTODY (UNIT/ORGANIZATION, NAME, RANK/GRADE, PHONE NUMBER)